# A topological characterization of dual strict convexity in Asplund spaces

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A topological space X has (\*) if there is a sequence  $(\mathscr{U}_j)_{j=1}^{\infty}$  of families of open subsets of X, such that given  $x, y \in X$ , there exists  $j \in \mathbb{N}$  satisfying

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Such a sequence  $(\mathcal{U}_j)$  is called a (\*)-sequence.

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#### Example 1.4

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$$\mathscr{U}_j = \{U \subseteq X \text{ open } : \text{ diam}(U) < 2^{-j}\}$$

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The (\*) property generalises the property of having a  $G_{\delta}$ -diagonal, that is, the diagonal  $\{(x, x) : x \in X\} \subseteq X^2$  is a  $G_{\delta}$  set.

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#### **Definition 1.5**

Let  $B \subseteq X$ , where X is a Banach space. Any set of the form

$$\{x \in B : f(x) > \alpha\}, \qquad f \in S_{X^*}, \alpha \in \mathbb{R},$$

is a weakly open (*w*-open) **slice** of *B*.

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#### Definition 1.6

The subspace (B, w) of X has (\*) with slices if we can find a (\*)-sequence  $(\mathscr{U}_j)_{j=1}^{\infty}$ , such that every element  $U \in \bigcup_{j=1}^{\infty} \mathscr{U}_j$  is a w-open slice of B.

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Likewise for subspaces  $(B, w^*)$  of  $X^*$ .

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Let *X* be a Banach space. The following are equivalent.

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(1)  $\Rightarrow$  (2): assume  $\|\cdot\|$  is strictly convex on *X*. Given  $q \in (0, \infty) \cap \mathbb{Q}$ , set

$$\mathscr{U}_q = \{ \{ x \in X : f(x) > q \} : f \in S_{(X, \|\cdot\|)^*} \}.$$

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Let  $x \neq y \in X$ . Then  $\frac{1}{2} ||x + y|| < \max\{||x||, ||y||\}$ . Take  $q \in \mathbb{Q}$  in the gap.

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As  $q < \max\{\|x\|, \|y\|\}$ , there exists  $f \in S_{(X, \|\cdot\|)^*}$  such that f(x) > q or f(y) > q, so  $\{x, y\} \cap \bigcup \mathscr{U}_q \neq \varnothing$ . As  $\frac{1}{2} \|x + y\| < q$ , we cannot have **both** g(x) > q and g(y) > q for some  $g \in S_{(X, \|\cdot\|)^*}$ .

In general, the slice condition in Theorem 1.6 (2) and (3) is necessary: there is X such that (X, w) has (\*), yet X admits no strictly convex norm (OST 2012).

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Let *K* be a scattered compact space. The following are equivalent.

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# The main result

#### Fact 1.9

Recall that a Banach space X is **Asplund** if and only if  $X^*$  has the **Krein**-**Milman property**: if  $B \subseteq X^*$  is norm-closed, convex and bounded, then

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#### Fact 1.10

A C(K) space is Asplund if and only if K is scattered.

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The proof involves a set-theoretic derivation process indexed by a tree of finite sequences of ordered pairs of natural numbers and rational numbers.

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